

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF TENNESSEE  
AT KNOXVILLE

UNITED STATES OF AMERICA	)	
	)	
v.	)	No. 3:08-CR-008
	)	
LaDARRYL T. HEMPHILL	)	

**MEMORANDUM AND ORDER**

This criminal case is before the Court on the defendant's motion for sentence reduction. [Doc. 25]. Through counsel, the defendant asks the Court to reduce his sentence pursuant to Section 404 of the First Step Act of 2018, Pub. L. No. 115-391, 132 Stat. 5194, which retroactively applies certain provisions of the Fair Sentencing Act of 2010, Pub. L. 111-220, 124 Stat. 2372. The government has responded in opposition to the motion [doc. 26], and the defendant has submitted a reply. [Docs. 27-28]. For the reasons that follow, the defendant's motion will be granted.

**I. Defendant's Eligibility for First Step Act Relief.**

"Federal courts are forbidden, as a general matter, to modify a term of imprisonment once it has been imposed, but the rule of finality is subject to a few narrow exceptions." *Freeman v. United States*, 564 U.S. 522, 526 (2011) (internal citation and quotation marks omitted). One of those narrow exceptions is 18 U.S.C. § 3582(c)(1)(B), which provides that "the court may modify an imposed term of imprisonment to the extent otherwise expressly permitted by statute . . . ." The First Step Act, which was enacted on December 21, 2018, is one such statute.

Section 404(b) of the First Step Act instructs that the “court that imposed a sentence for a covered offense may, on motion of the defendant . . . , impose a reduced sentence as if sections 2 and 3 of the Fair Sentencing Act of 2010 . . . were in effect at the time the covered offense was committed.” A covered offense is “a violation of a Federal criminal statute, the statutory penalties for which were modified by section 2 or 3 of the Fair Sentencing Act of 2010 . . . , that was committed before August 3, 2010.” First Step Act, § 404(a).<sup>1</sup>

Prior to the Fair Sentencing Act, 21 U.S.C. § 841 (as applied to the present defendant) mandated an enhanced sentence of 10 years to life imprisonment for violations of section 841(a)(1) involving five grams or more of cocaine base. *See* 21 U.S.C. § 841(b)(1)(B)(iii) (2008). For 841(a)(1) violations involving less than five grams of cocaine base, the enhanced statutory penalty was zero to 30 years. *See id.* § 841(b)(1)(C). Since the enactment of the Fair Sentencing Act, the 841(b)(1)(B)(iii) penalties now apply only to offenses involving 28 grams or more of cocaine base, and the 841(b)(1)(C) penalties apply to offenses involving less than that amount. *See* 21 U.S.C. § 841(b) (2019); *Dorsey v. United States*, 567 U.S. 260, 269 (2012).

The present defendant pled guilty to possessing with the intent to distribute five grams or more of a mixture and substance containing a detectable amount of cocaine base,

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<sup>1</sup> Section 404(c) of the First Step Act imposes additional limitations, none of which apply in this case.

in violation of 21 U.S.C. §§ 841(a)(1) and 841(b)(1)(B). The Presentence Investigation Report (“PSR”) held the defendant responsible for 38.9 grams of cocaine base.

The United States opposes the instant motion on multiple grounds. Primarily, it presents various arguments that the defendant is not eligible for First Step Act relief because he was not sentenced for a “covered offense” as defined by that legislation. Further, it is the United States’ position that granting the instant motion (and those of similarly situated defendants) would result in “mass disparity” which would “defeat[] the plain Congressional intent in the First Step Act of assuring like treatment of like offenders.” [Doc. 26, p. 3, 6].

As noted, the First Step Act defines a “covered offense” as “a violation of a Federal criminal statute, the statutory penalties for which were modified by section 2 or 3 of the Fair Sentencing Act of 2010 . . . ,” First Step Act, § 404(a). The United States argues that the limitation “the statutory penalties for which were modified by” in fact describes the word “violation” rather than the immediately preceding words “a Federal criminal statute.” In turn, the United States submits that the word “violation” means the entirety of a defendant’s conduct—such as the drug quantity found in a PSR or the factual basis of a plea agreement—rather than the drug quantity threshold of the statute of conviction. Therefore, according to the government, a court can still find a § 404 movant responsible (for *statutory* sentencing purposes) for the higher drug quantity from, for example, his PSR. That is so, says the government, because the Constitutional safeguards of *Alleyne* were not “in effect at the time the covered offense was committed,” First Step Act, § 404(b), and the

holdings of those cases are not to be applied retroactively. *See Apprendi v. New Jersey*, 530 U.S. 466, 490 (2000) (With the exception of prior convictions, facts that increase a crime’s penalty beyond the statutory *maximum* must be submitted to the jury and proved beyond a reasonable doubt.); *Alleyne v. United States*, 570 U.S. 99, 114-16 (2013) (extending *Apprendi*’s holding to facts that increase statutory *minimums*).

A venture into the definitional morass of the United States’ “violation” argument is unnecessary because this Court finds that the First Step Act uses the words “the statutory penalties for which were modified by” to describe the immediately preceding words “a Federal criminal statute.” *See, e.g., United States v. Rose*, 379 F. Supp. 3d 223, 228 (S.D.N.Y. 2019) (persuasively explaining that § 404(a)’s “‘penalties’ clause modifies the adjacent noun phrase, ‘Federal criminal statute[,]’” rather than the more distant noun, “violation.”). “Here, the “penalties clause’ is most naturally read as modifying ‘Federal criminal statute,’ the noun phrase immediately next to it.” *Id.*

Further, this Court agrees with those cases which have rejected the United States’ *Alleyne* argument. True, *Apprendi* and *Alleyne* do not retroactively apply on collateral review, but the majority of courts to thus far consider the issue have concluded that those cases’ holdings are nonetheless applicable to § 404. *See, e.g., United States v. Burke*, No. 2:08-CR-63(1), 2019 WL 2863403, at \*3 (E.D. Tenn. July 2, 2019) (Greer, J.) (collecting cases). “[I]t is not only appropriate but also realistic to presume that Congress was thoroughly familiar with . . . important precedents . . . and that it expected its enactment to be interpreted in conformity with them.” *Cannon v. Univ. of Chicago*, 441 U.S. 677, 699

(1979); *accord Burke*, 2019 WL 2863403 at \*3 (collecting cases). “The First Step Act neither directs nor implies that the Court should perpetuate the application of an unconstitutional practice when determining a new sentence that complies with the Act’s directives, and many courts faced with the issue have applied the *Apprendi* rule in First Step Act re-sentencings.” *United States v. Stone*, No. 1:96 CR 403, 2019 WL 2475750, \*2 (N.D. Ohio June 13, 2019) (collecting cases).<sup>2</sup>

Thus,

eligibility under the language of the First Step Act turns on a simple, categorical question: namely, whether a defendant's offense of conviction was a crack cocaine offense affected by the Fair Sentencing Act. If so, the defendant is categorically eligible for consideration regardless of actual quantities. The particular quantities affect only the Court's discretionary call on whether to grant a reduction in sentence.

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... This is a categorical decision based on the type of prior conviction, not any particular quantity determination. The Court then determines whether to exercise its discretion to reduce the defendant's sentence. ... Based on this information, the Court will then determine the extent of any reduction it decides in its discretion to award, consistent with statutory limits, non-binding guideline considerations, and the Section 3553 factors.

*United States v. Boulding*, 379 F. Supp. 3d 646, 648, 651, 654 (W.D. Mich. 2019).

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<sup>2</sup> As noted, the PSR in this case holds the defendant accountable for 38.9 grams of a mixture and substance containing a detectable amount of cocaine base. It would unjust for this Court to hold the defendant *statutorily* accountable for that amount for purposes of First Step Act eligibility. There are lower burdens of proof at sentencing, where also the Federal Rules of Evidence do not apply. “Many things might have been different if this crime had been committed and charged years later or the Fair Sentencing Act had been passed years earlier, and the Court is unwilling to engage in a series of hypotheticals about what might have happened had aspects of the case been fundamentally altered.” *United States v. Dodd*, 372 F. Supp. 3d 795, 799 (S.D. Iowa 2019).

This Court is not persuaded by the United States’ predictions of “an odd result” of “profoundly unfair and illogical disparities.” [Doc. 26, p. 5, 6]. An “odd result,” in this Court’s eyes, would flow instead from the conclusion that Congress intended for § 404 to apply only to those few pre-Fair Sentencing Act defendants whose judicially-found relevant conduct fell within the small gaps (between 5 and 28 grams or between 50 and 280 grams) of the pre- and post-First Step Act statutory thresholds. In this Court’s experience, based on more than thirty years on the federal bench, the government’s proposed interpretation would restrict § 404 relief to a miniscule subset of cocaine base defendants. Stated differently, § 404 would be virtually meaningless, and courts “should not lightly conclude that Congress enacted a self-defeating statute.” *Quarles v. United States*, 139 S. Ct. 1872, 1879 (2019).

No matter which way this or any other Court rules on the current wave of § 404 motions, one party (or, most likely, both) will be able to continue conjuring examples of resultant disparity. However, relevant conduct remains a bedrock component of the *guideline range* calculation, thus serving to limit discrepancies in sentencing.

As noted, the instant defendant pled guilty to possessing with the intent to distribute five grams or more of cocaine base in violation of 21 U.S.C. §§ 841(a)(1) and 841(b)(1)(B). Section 2 of the Fair Sentencing Act of 2010 modified the statutory penalties for that federal criminal statute. *See* Fair Sentencing Act, 124 Stat. 2372. The defendant committed his crime in 2007. [PSR ¶ 6]. Thus, he was sentenced by this Court for “a covered offense” and is eligible to be considered for First Step Act relief.

## **II. Factual Background**

In this case, by judgment dated October 1, 2008, the Honorable Thomas W. Phillips imposed a 262-month term of imprisonment, to be followed by 8 years of supervised release. Prior to the change of plea hearing and pursuant to 21 U.S.C. § 851, the United States timely filed an information giving notice of prior felony drug convictions to be relied upon to increase the defendant's statutory term of imprisonment from a mandatory minimum of five years to a mandatory minimum of ten. [Doc. 13]. *See* 21 U.S.C. § 841(b)(1)(B)(iii) (2008). The defendant's guideline range was 262 to 327 months, based on his status as a Career Offender, a total offense level of 34, and a criminal history category of VI.

According to the Bureau of Prisons, the defendant is presently scheduled for release on November 21, 2026.

## **III. Analysis**

The defendant's motion is authorized by the First Step Act because he was sentenced in this Court for "a violation of a Federal criminal statute, the statutory penalties for which were modified by section 2 or 3 of the Fair Sentencing Act of 2010 . . . , that was committed before August 3, 2010." First Step Act, § 404(a). Specifically, the defendant's enhanced statutory term of imprisonment was reduced by section 2 of the Fair Sentencing Act *from* a mandatory term of ten years to life *to* a range of zero to 30 years.

Applying the 2018 guidelines manual, the defendant's guideline range is now 188 to 235 months. That range based on his status as a Career Offender, a reduced total offense

level of 31, and a criminal history category of VI. *See* United States Sentencing Commission Guidelines Manual § 4B1.1(b)(2).

The Court has considered the filings in this case, along with the relevant 3553(a) factors. The Court has also reviewed the PSR and the defendant's Bureau of Prisons SENTRY Report.

The defendant's criminal background at the time of sentencing was alarming. *See* PSR ¶¶ 24-42. The defendant has also incurred two disciplinary sanctions while in Bureau of Prisons custody, but the Court views those matters as having been adequately sanctioned administratively.

Conversely, the Court notes that the defendant has completed an impressive amount of post-sentencing vocational and educational coursework. He has obtained his GED and has completed both a 2,000-hour custodial maintenance apprenticeship and a 270-hour quality assurance supervision program. He has a low security classification. Letters appended to his motion responses evidence strong family support.

The Court is satisfied that a sentence reduction is warranted. The defendant's sentence will be reduced to 180 months, reflecting the bottom of the new guideline range plus an 8-month downward variance based on post-offense rehabilitation.<sup>3</sup>

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<sup>3</sup> The defendant's request for a plenary resentencing hearing will, however, be denied. The First Step Act does not expressly require such a proceeding, and the Court does not find one necessary in this case. *See e.g., United States v. Hardin*, Nos. 2:99-CR-36, 2:03-CR-74, 2019 WL 2519887, at \*3 (E.D. Tenn. June 18, 2019); *United States v. Lawson*, No. 1:03CR398, 2019 WL 1959490, at \*3 (N.D. Ohio May 2, 2019).



#### **IV. Conclusion**

For the reasons provided herein, the defendant's motion for sentence reduction [doc. 25] is **GRANTED**. The defendant's term of imprisonment is reduced to **180 months**. The defendant's term of supervised release is reduced from 8 years to **6 years**. *See* 21 U.S.C. § 841(b)(1)(C).

Further, while on supervised release, the defendant shall be subject to the following additional special condition of supervision:

The defendant shall submit his person, property, house, residence, vehicle, papers, computers [as defined in 18 U.S.C. § 1030(e)(1)], other electronic communications or data storage devices or media, or office, to a search conducted by a United States Probation Officer or designee. Failure to submit to a search may be grounds for revocation of release. The defendant shall warn any other occupants that the premises may be subject to searches pursuant to this condition. An officer may conduct a search pursuant to this condition only when reasonable suspicion exists that the defendant has violated a condition of his supervision and that the areas to be searched contain evidence of this violation. Any search must be conducted at a reasonable time and in a reasonable manner.

Except as provided herein, all provisions of the judgment dated October 1, 2008, shall remain in effect.

**IT IS SO ORDERED.**

ENTER:

s/ Leon Jordan  
United States District Judge